

CERTIFICATE OF EFS FILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS pursuant to 37 CFR § 1.8 on the below date.

Date: October 31, 2008 Name: Kent E. Genin, Reg. No. 37,834 Signature: /Kent E. Genin/

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Ross G. Kaminsky et al.

Patent No.: 7,356,498 B2

Issued Date: April 8, 2008

Serial No.: 09/475,534

Filing Date: December 30, 1999

For: Automated Trading Exchange System  
Having Integrated Quote Risk Monitoring  
And Integrated Quote Modification Services

Examiner: Andrew J. Rudy

Group Art Unit: 3627

Confirmation No.: 6464

Attorney Docket No: 11927-88

**TRANSMITTAL**

Mail Stop Certificate of Correction

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Sir:

**Attached is/are:**

- ☒ Transmittal Letter; Request for Certificate of Correction (1 page); Certificate of Correction PTO Form 1050 (1 page).

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 CFR § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 CFR § 1.17(\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

			Small Entity			Not a Small Entity		
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	OR	Rate	Add'l Fee
Total	Minus			x \$26=			x \$52=	
Indep.	Minus			x \$110=			x \$220=	
First Presentation of Multiple Dep. Claim				+ \$195=			+ \$390=	
Total				\$			Total	\$

**Fee payment:**

- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$100.00 for filing fees.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

October 31, 2008

Date

Kent E. Genin (Reg. No. 37,834)